

- Acting as an investment manager for a registered scheme;
- Acting as an issuer for regulated products or financial products offered under an FMC (Financial Markets Conduct Act) offer;
- Being a creditor under a credit contract;
- Broking service (including a custodial service);
- Changing foreign currency;
- Custodian of DIMS (discretionary investment management service) licensee;
- Custodian of registered scheme;
- Deposit taker (Non-bank deposit taker from 1 May 2014). Although this is no longer available to select at registration;
- Employer or principal of a financial adviser and/or Qualifying Financial Entity;
- Giving financial guarantees;
- Financial adviser;
- Issuing and managing means of payment;
- Keeping, investing, administering, or managing money, securities, or investment portfolios on behalf of other persons;
- Operating a money or value transfer service;
- Participating in an FMC offer as an issuer or offeror of financial products;
- Providing forward foreign exchange contracts;
- Trading financial products or foreign exchange on behalf of other persons. And;

- Wholesale and/or generic financial advice.